

# Conflicts of Interest



Helm Wealth Advisory Limited (Helm Wealth Advisory) is the manager of the Diversified Assets Fund and the Growth Assets Fund (the Helm Wealth Advisory Funds). Helm Wealth Advisory provides certain administrative services to the Alvarium Private Credit Fund.

A conflict of interest arises where Helm Wealth Advisory DIMS portfolios invest in funds managed by Helm Wealth Advisory.

Helm Wealth Advisory manages the above conflicts of interest by ensuring that all investments in financial products managed by Helm Wealth Advisory or associated persons are made on arm's length terms in accordance with the SIPO for the Helm Wealth Advisory Funds making the investment. Each investment must be approved in accordance with Helm Wealth Advisory's usual investment criteria and procedures. Helm Wealth Advisory may rebate a portion of its management fee when a Helm Wealth Advisory DIMS strategy invests in a Fund or asset issued by Helm Wealth Advisory or associated persons. Any rebates or commissions paid in relation to an investment are credited to the relevant investor. Related party transactions entered into by the Helm Wealth Advisory Funds are also subject to requirements of the Master Trust Deed which governs the operation of the Helm Wealth Advisory Funds.